

COPY OF PAPERS
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Attorney Docket No.: 080398.P162

Patent

In re the Application of: David Desch.

(inventor(s))

Application No.: 09/183,717

RECEIVED

Filed: October 30, 1998

MAR 07 2002

For: A System And Method For Providing Digital Video And Audio Broadcast
(title)

Technology Center 2600

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
	Claims Remaining After Amd.	Highest No. Previously Paid For	Present Extra	Rate	Additional Fee
Total Claims	* 43	Minu s	** 52	X9	\$
Indep. Claims	* 5	Minu s	*** 7	X42	\$
First Presentation of Multiple Dependent Claim(s)				+140	\$
				Total Add. Fee	\$
					Total Add. Fee
					\$ 0.00

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

A check in the amount of \$ _____ is attached for presentation of additional claim(s).
 Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to 37 C.F.R. § 1.136(a).

A check for \$ 740.00 is attached for processing fees under 37 C.F.R. § 1.17.
 Please charge my Deposit Account No. 02-2666 the amount of \$ _____.

A **duplicate copy of this sheet is enclosed.**

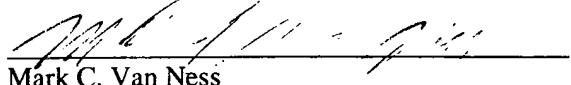
The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (**a duplicate copy of this sheet is enclosed**):

Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 1/28/02


Mark C. Van Ness
Reg. No. 39,865

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(303) 740-1980



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Serial/Patent No.: 09/183,717 Filing/Issue Date: October 30, 1998

Client: Son Electronics

Title: A System and Method for Providing Digital Video and Audio Broadcast

BSTZ File No.: 080398 P162

Date Mailed: January 28, 2002

Atty/Secty Initials: MAD/WJD/kam

Docket Due Date: January 27, 2002

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (____ pgs.) Express Mail No.: _____ Check No. 3262
 Appeal Brief (____ pgs.) (in triplicate) _____ Month(s) Extension of Time Amt: \$740.00
 Application - Utility (____ pgs., with cover and abstract) Information Disclosure Statement & PTO-144 (____ pgs.) Check No. _____
 Application - Rule 1.53(b) Continuation (____ pgs.) Issue Fee Transmittal Amt: _____
 Application - Rule 1.53(b) Divisional (____ pgs.) Notice of Appeal _____
 Application - Rule 1.53(b) CIP (____ pgs.) Petition for Extension of Time _____
 Application - Rule 1.53(d) CPA Transmittal (____ pgs.) Petition for _____
 Application - Design (____ pgs.) Postcard _____
 Application - PCT (____ pgs.) Power of Attorney (____ pgs.) _____
 Application - Provisional (____ pgs.) Preliminary Amendment (____ pgs.) _____
 Assignment and Cover Sheet Reply Brief (____ pgs.) _____
 Certificate of Mailing Response to Notice of Missing Parts _____
 Declaration & POA (____ pgs.) Small Entity Declaration for Indep. Inventor/Small Business _____
 Disclosure Docs & Org & Copy of Inventor's Signed Letter (____ pgs.) Transmittal Letter, in duplicate _____
 Drawings: _____ # of sheets includes _____ figures Fee Transmittal, in duplicate _____

Other: Request for Continued Examination (RCE) Transmittal.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that I am causing the above-referenced correspondence to be deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

January 28, 2002.

Date of Deposit

Krista Mathieson

Name of Person Mailing Correspondence

Krista Mathieson

Signature

January 28, 2002

Date



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PTO/SB/17(09/00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) \$740.00

Complete if Known:

Application No. 09/183,717

Filing Date October 30, 1998

First Named Inventor David E. Desch

Group Art Unit 2611

Examiner Name Grant, C.

Attorney Docket No. 080398.P162

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Technology Center 2600

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: X Check
 Money Order
 Other

FEE CALCULATION

1. **BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>		
Fee	Fee	Fee	Fee		
Code	Fee	Code	Fee		
101	740	201	370	Utility application filing fee	<u></u>
106	330	206	165	Design application filing fee	<u></u>
107	510	207	255	Plant filing fee	<u></u>
108	740	208	370	Reissue filing fee	<u></u>
114	160	214	80	Provisional application filing fee	<u></u>

SUBTOTAL (1) \$ 0.00

2. **EXTRA CLAIM FEES**

<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u> </u> - 20** = <u> </u>	X <u> </u> = <u> </u>	
Independent Claims <u> </u> - 3** = <u> </u>	X <u> </u> = <u> </u>	
Multiple Dependent <u> </u>	<u> </u> = <u> </u>	

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>		
Fee	Fee	Fee		
Code	Fee	Code	Fee	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath
127	50	227	Surcharge - late provisional filing fee or cover sheet
139	130	139	Non-English specification
147	2,520	147	For filing a request for ex parte reexamination
099	8,800	099	Request for inter parties reexamination
112	920*	112	Requesting publication of SIR prior to Examiner action
113	1,840*	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for reply within first month
116	400	216	Extension for reply within second month
117	920	217	Extension for reply within third month
118	1,440	218	Extension for reply within fourth month
128	1,960	228	Extension for reply within fifth month
119	320	219	Notice of Appeal
120	320	220	Filing a brief in support of an appeal
121	280	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive – unavoidable
141	1,280	241	Petition to revive - unintentional
142	1,280	242	Utility issue fee (or reissue)
143	460	243	Design issue fee
144	620	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	Processing fee under 37 CFR 1.17(q)
126	180	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	740	246	For filing a submission after final rejection (see 37 CFR 1.129(a))
148	110	248	Statutory Disclaimer
149	740	249	For each additional invention to be examined (see 37 CFR 1.129(b))
179	740	279	Request for Continued Examination (RCE)
169	900	169	Request for expedited examination of a design application
195	300	195	Publication fee for early, voluntary, or normal pub.
196	300	196	Publication fee for republication
194	130	194	Request for voluntary publication or republication
098	130	098	Processing fee under 37 CFR 1.17(i) (except provisionals)
091	1,280	091	Acceptance of unintentionally delayed claim for priority

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$740.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Mark C. Van Ness

Signature: Mark C. Van Ness Date: January 28, 2002

Reg. Number: 39,865 Telephone Number: (303) 740-1980